

09-12-05

JW 3738 CC
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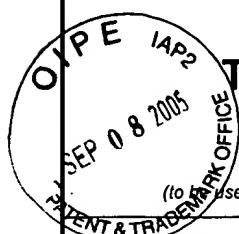
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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/900,320
		Filing Date	July 6, 2001
		First Named Inventor	SOETIKNO, ROY M.
		Group Art Unit	3738
		Examiner Name	LANDREM, KAMRIN R.
Total Number of Pages in This Submission		5 + refs.	Attorney Docket Number
ENCLOSURES (check all that apply)			

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Credit Card Form PTO-2038 2. Copies of cited references 3. Form PTO/SB/08A 4. Postcard	
			<input type="checkbox"/>

Remarks

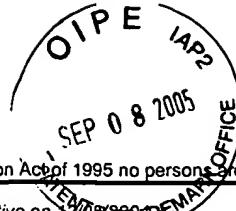
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Signing Attorney/Agent (Reg. No.)	PAMELA J. SHERWOOD, 36,677 BOZICEVIC, FIELD & FRANCIS, LLP
Signature	
Date	September 8, 2005

EXPRESS MAIL LABEL NO. EV 687 633 328 US

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 10/01/2005

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 180)

Complete if Known

Application Number	09/900,320
Filing Date	July 6, 2001
First Named Inventor	SOETIKNO, ROY M.
Examiner Name	LANDREM, KAMRIN R.
Art Unit	3738
Attorney Docket No.	STAN-219

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order None Other (please identify): _____

 Deposit Account Deposit Account Number: 50-0815 Deposit Account Name: Bozicevic, Field and Francis LLP
 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

 Charge fee(s) indicated below

 Charge fee(s) indicated below, except for the filing fee

 Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments
 under 37 CFR 1.16 and 1.17

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES	
	Fee (\$)	Small Entity	Fee (\$)	Small Entity	Fee (\$)	Small Entity
Utility	300	150	500	250	200	100
Design	200	100	100	50	130	65
Plant	200	100	300	150	160	80
Reissue	300	150	500	250	600	300
Provisional	200	100	0	0	0	0

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent

Fee (\$)	Small Entity
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Fee (\$)	25
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Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent

Fee (\$)	100
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Multiple dependent claims

Fee (\$)	180
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Total Claims

Extra Claims

Fee (\$)

Fee Paid (\$)

Multiple Dependent Claims

Fee (\$)

Fee Paid (\$)

$$- 20 \text{ or HP} = \frac{\text{Fee ($)}}{\text{Fee ($)}} \times \frac{\text{Fee ($)}}{\text{Fee ($)}} = \frac{\text{Fee ($)}}{\text{Fee ($)}}$$

HP = highest number of total claims paid for, if greater than 20

$$\text{Indep. Claims} \quad \frac{\text{Extra Claims}}{\text{Fee ($)}} \quad \frac{\text{Fee ($)}}{\text{Fee ($)}}$$

Fee Paid (\$)

$$- 3 \text{ or HP} = \frac{\text{Fee ($)}}{\text{Fee ($)}} \times \frac{\text{Fee ($)}}{\text{Fee ($)}} = \frac{\text{Fee ($)}}{\text{Fee ($)}}$$

HP = highest number of independent claims paid for, if greater than 3

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity)

for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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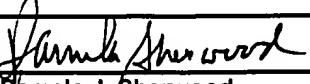
$$- 100 = \frac{\text{Fee ($)}}{50} = \frac{\text{Fee ($)}}{50} \times \frac{\text{Fee ($)}}{50} = \frac{\text{Fee ($)}}{50}$$

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Information Disclosure Statement Fee Paid (\$)

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) <u>36,677</u>	Telephone <u>(650) 327-3400</u>
Name (Print/Type)	<u>Pamela J. Sherwood</u>		Date <u>09/08/2005</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT		Attorney Docket	STAN-219
<p>Address to: Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p>SEP 08 2005 PATENT & TRADEMARK OFFICE</p>		First Named Inventor	SOETIKNO, ROY M.
		Application Number	09/900,320
		Filing Date	July 6, 2001
		Group Art Unit	3738
		Examiner Name	LANDREM, KAMRIN R.
		Title: "STENT REPOSITIONING AND REMOVAL"	

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or *after* the mailing date of the first Office Action on the merits, whichever event occurred last, but *before* the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-SB/08A listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

All of the references identified herein are being submitted prior to the final determination on patentability by the Office.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

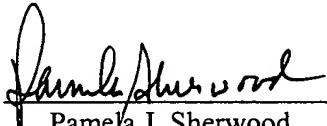
Please charge the \$180.00 fee required by 37 C.F.R. §1.97(c) and set forth in 37 C.F.R. 1.17(p) and any additional fees which may be required by this paper or any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21, or to credit any overpayment, to Deposit Account No. 50-0815, order number STAN-219.

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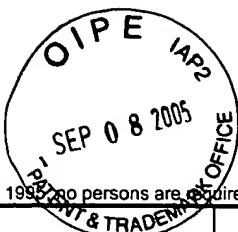
180.00 DP

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

By: 
Pamela J. Sherwood
Registration No. 36,677

Date: September 9, 2005

BOZICEVIC, FIELD & FRANCIS LLP
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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>					
Sheet	1	of	1	Application Number	09/900,320
				Filing Date	July 6, 2001
				First Named Inventor	SOETIKNO, ROY M.
				Art Unit	3738
				Examiner Name	LANDREM, KAMRIN R.
				Attorney Docket Number	STAN-219

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number-Kind Code ² (if known)			
		US-6,241,757		Jun. 5, 2001	An et al.
		US-6,821,291		Nov. 23, 2004	Bolea et al.
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		CHOOSTENT TM COVERED ESOPHAGEAL STENT, downloaded from http://mitech.co.nu/uploads/images/282/useguideesophachoo_english.pdf , July 26, 2005			
		LEE et al., NEW SELF-EXPANDABLE SPIRAL METALLIC STENT: PRELIMINARY CLINICAL EVALUATION IN MALIGNANT BILIARY OBSTRUCTION, 1995			
		Radlax, Radiology, TIMELINE, 1997, 203(3) 593-888			

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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